

FISCHER, BROWN, BARTLETT & GUNN, P.C.

1319 East Prospect Road
Fort Collins, CO 80525
PH: 970-407-9000
FAX: 970-407-1055

GENERAL INFORMATION

Client 1 Name Information

First Name: _____ Middle: _____ Last: _____

Nickname (if any): _____ Alias Name (if any): _____

Gender: Male Female SS#: _____ DOB: _____

U.S. Citizen? Yes No If No, specify citizenship: _____

Health: Excellent Reasonably good Poor Serious Adverse Condition

Legally blind? Yes No Disabled? Yes No

Marital Status: Married Single Widow(er) Divorced Partner

Client 2 Name Information

First Name: _____ Middle: _____ Last: _____

Nickname (if any): _____ Alias Name (if any): _____

Gender: Male Female SS#: _____ DOB: _____

U.S. Citizen? Yes No If No, specify citizenship: _____

Health: Excellent Reasonably good Poor Serious Adverse Condition

Legally blind? Yes No Disabled? Yes No

Marital Status: Married Single Widow(er) Divorced Partner

Contact Information

	Please complete for Client 1	Please complete for Client 2
Address		
City		
State		
Zip		
Home Phone		
Personal email		
Cell Phone		

Referral Information

By whom were you referred to this office?

Name	Address	City	State	Zip

Professional Relationships (Accountants, Attorneys and Other Advisors)

Name	Type of Advisor	E-mail Address	Phone Number

CHILDREN (if applicable)

	Name	Living	Gender	Date of Birth	Legally Blind	Disabled	Receives SSI
Child 1		Y / N	M/F		Y / N	Y / N	Y / N
Child 2		Y / N	M/F		Y / N	Y / N	Y / N
Child 3		Y / N	M/F		Y / N	Y / N	Y / N
Child 4		Y / N	M/F		Y / N	Y / N	Y / N
Child 5		Y / N	M/F		Y / N	Y / N	Y / N

	Address (if not living with client(s))	Parent(s)
Child 1		Client 1 / Client 2 / Both Clients
Child 2		Client 1 / Client 2 / Both Clients
Child 3		Client 1 / Client 2 / Both Clients
Child 4		Client 1 / Client 2 / Both Clients
Child 5		Client 1 / Client 2 / Both Clients

ASSETS AND LIABILITIES

Personal Net Worth: \$ _____

Please bring a list of all life insurance policies on each of your life and your spouse/partner's life showing the face value, policy loans, the owner and beneficiary of each policy.

FINANCIAL SUMMARY

		ASSETS	TITLING*	LIABILITIES
	Description	Asset Value		
Cash/Liquid				
	Savings			
	Checking			
	Money Market			
	Other			
Real Estate				
	Primary			
	Secondary			
	Other			
Personal Property				
	Automobiles			
	Jewelry			
	Art or Other Collections			
	Boats			
	Other			
Intangibles				
	Bonds			
	Stock			
	Mutual Funds			
	Note & Mortgages Receivables			
	Future Inheritance			
	Interests in Trusts			
	Annuities			
	Business Interests			
	Other			
Retirement Benefits				
	IRAs			
	401K			
	Keough Plan			
	SEP			
	Other			
Life Insurance				
	Cash Value of all policies			

*Joint Tenancy (JT); Tenants-In-Common (TIC); Husband (H); or Wife (W)

PLANNING ISSUES

Want to benefit charity?	Y / N
Ownership in farm or ranch?	Y / N
Ownership in closely held business?	Y / N
Own stock in SubChapter S corporation?	Y / N
Ownership in a Medical, Dental or Veterinarian Practice?	Y / N
Own a valuable collection? (e.g., art, stamp collections)	Y / N
Own interest in gas/oil?	Y / N

MISCELLANEOUS

Do you have a safe-deposit box? Yes No

Location of safe-deposit box: _____

Location of important papers: _____

Have you ever filed a Federal Gift Tax Return? Yes No

If Yes, Years of Returns filed: _____

Do you have any other legal issues of which I should be aware? Yes No

If Yes, please describe:

PRIVACY NOTICE

Recently you should have been receiving a myriad of notices from credit card companies, banks and other financial institutions that describe each company's privacy policies and practices. The notices are mandated by the Gramm-Leach-Bliley Act, Pub. Law 106-102 November 12, 1999 and the correlative FTC regulation, 16 CFR Part 313 (May 24, 2000). The FTC has taken the position that lawyers and law firms that undertake certain types of representation, such as estate planning and tax planning, are also required to comply with the notice provisions of the regulation. Therefore, we are providing our clients with our firm's policies regarding privacy of client information.

In the course of providing our clients with estate tax, and gift tax advice, we receive significant personal financial information from our clients. If you are a client of our firm, Fischer, Brown & Gunn, P.C., you should know that all information that we receive from you is held in confidence, and is not released to people outside the firm, except as agreed to by you, or as required under an applicable law.

We retain records relating to professional services that we provide so that we are better able to assist you with your professional needs and in, some cases, to comply with professional guidelines. In order to guard your nonpublic personal information, we maintain physical, electronic, and procedural safeguards that comply with our professional standards.

As you are probably aware, we, as attorneys, are bound by professional standards of confidentiality that are even more stringent than those required by this new law. Therefore, we have always protected your right to privacy and will continue to do so.

If you have any questions regarding our firm's policies regarding privacy of client information, please do not hesitate to call attorneys Meg Brown, Lisa Larsen or Bill Gunn.

JOINT SPOUSAL REPRESENTATION AGREEMENT

It is common for a husband and wife to employ the same lawyer to assist them in planning their estate. You have taken this approach by asking me to represent both of you in your planning. It is important that you understand that because I will be representing both of you, you are considered my client, collectively. Accordingly, matters that one of you might discuss with me may be disclosed to the other of you. Ethical considerations prohibit me from agreeing with either of you to withhold information from the other. In this representation, I will not give legal advice to either of you or make any changes in any of your estate planning documents without your mutual knowledge and consent. Of course, anything either of you discuss with me is privileged from disclosure to third parties.

If a conflict of interest arises between you during the course of your planning or if the two of you have a difference of opinion, I can point out the pros and cons of your respective positions or differing opinions. However, ethical considerations prohibit me, as the lawyer for both of you, from advocating one of your positions over the other. Furthermore, I would not be able to advocate one of your positions versus the other if there is a dispute at any time as to your respective property rights or interests or as to other legal issues between you. If actual conflicts of interest do arise between you of such a nature that in my judgment it is impossible for me to perform my ethical obligations to both of you, it would become necessary for me to withdraw as your joint lawyer.

After considering the foregoing, if you consent to my representing both of you jointly, I request that you sign and return the enclosed copy of this document.

CONSENT

We have read the foregoing document and understand its contents. We consent to having you represent both of us on the terms and conditions set forth. We agree that you may, in your discretion, share with both of us any information regarding the representation that you receive from either of us or any other source.

Dated: _____

Signature

Dated: _____

Signature